

# Generalized Persistence Diagrams for Persistence Modules over Posets

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**UFTDA**

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$F : \mathbb{Z} \rightarrow \mathbf{vec}$  is given.

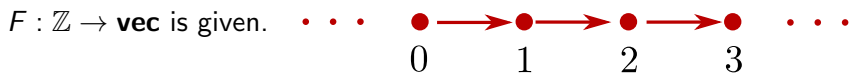
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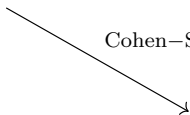
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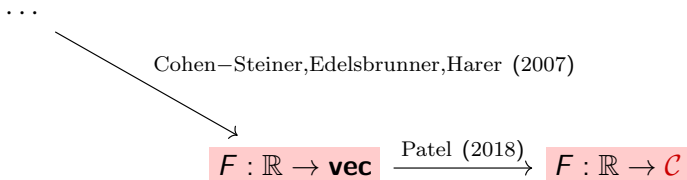
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Cohen–Steiner, Edelsbrunner, Harer (2007)

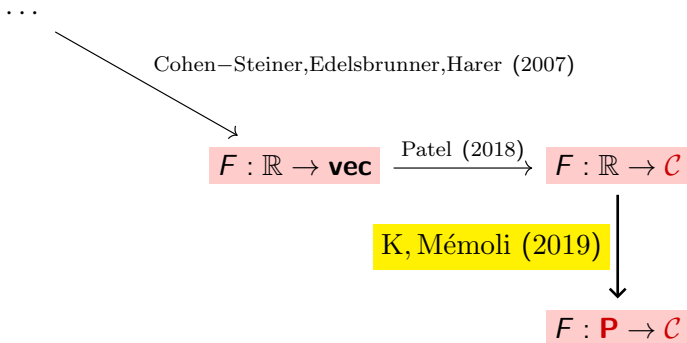
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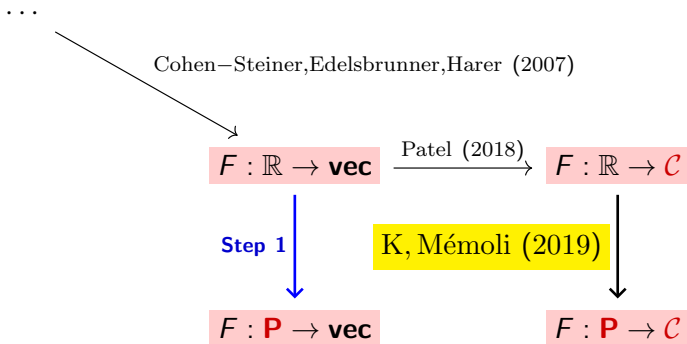
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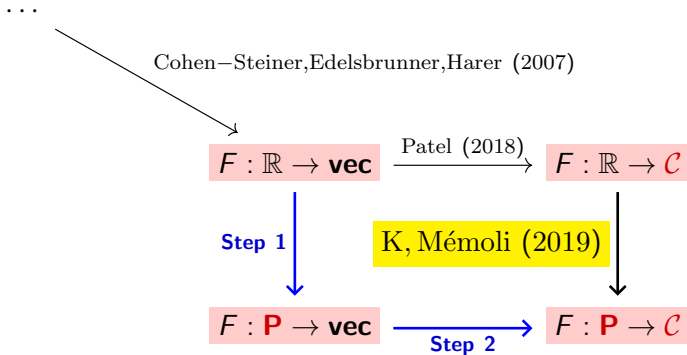
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We will define  $\left\{ \begin{array}{l} 1. \text{ Rank} \\ 2. \text{ Rank invariant} \\ 3. \text{ Persistence diagram} \end{array} \right.$  of  $F : \mathbf{P} \rightarrow \mathbf{vec}$ .



# Rank

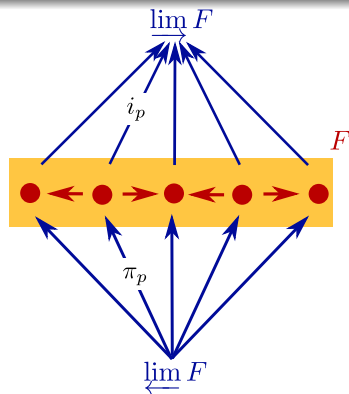
Let  $\mathbf{P}$  be a **connected** poset, i.e.  $\forall p, q \in \mathbf{P}$  there exists a sequence  $p = p_0, p_1, \dots, p_n = q$  in  $\mathbf{P}$  s.t.  $p_i$  and  $p_{i+1}$  are comparable.

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Given  $F : \mathbf{P} \rightarrow \mathbf{vec}$ ,

$$\text{rank}(F) := \text{rank}(\phi_F : \varprojlim F \rightarrow \varinjlim F)$$



$$\phi_F = i_p \circ \pi_p \text{ for any } p \in \mathbf{P}.$$

Studying the Limit-to-Colimit map in TDA is **not** a new idea.

- 1 Work by **Patel and MacPherson** circa 2012.
- 2 **Chambers and Letscher** "*Persistent Homology Over Directed Acyclic Graphs*" (*arXived in 2014, published in 2018*).
- 3 **Botnan, Oppermann and Steen**, a work in progress. See Botnan's AATRN online seminar on 04/05/2017 (available on Youtube).

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- **Hasse( $\mathbf{P}$ )**: the Hasse diagram of  $\mathbf{P}$ .

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A subset  $K \subset \mathbf{P}$  will be called a **path-connected subposet** of  $\mathbf{P}$  if the induced subgraph of  $\overline{\mathbf{Hasse}}(\mathbf{P})$  on  $K$  is path-connected.

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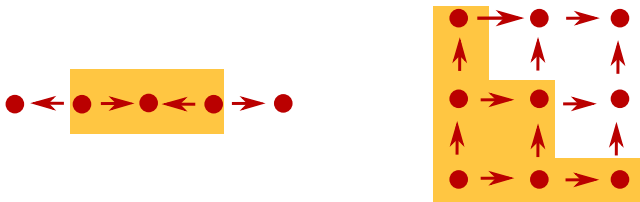
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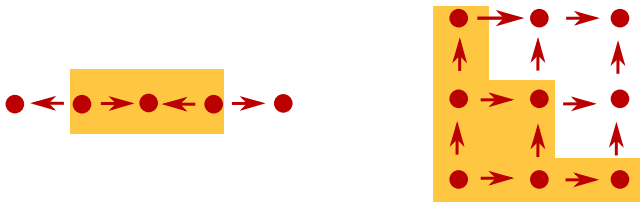
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**Con( $\mathbf{P}$ )**: the set of all path-connected subsubsets of  $\mathbf{P}$ .

**Con(P)**: the set of all path-connected subsets of **P**.

Rank invariant of  $F : \mathbf{P} \rightarrow \mathbf{vec}$

$$\mathbf{rk}_F : \mathbf{Con}(\mathbf{P}) \rightarrow \mathbb{Z}_{\geq 0}$$

$$K \mapsto \mathbf{rank}(F|_K)$$

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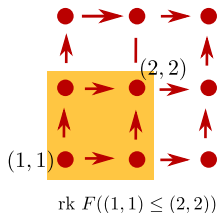
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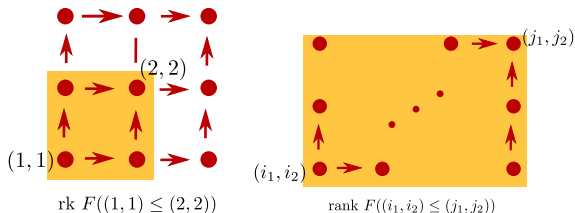
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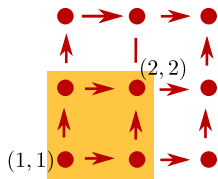
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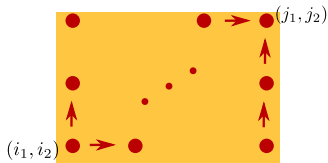
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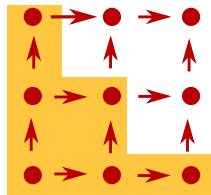
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$$\mathbf{rk} F((1, 1) \leq (2, 2))$$



$$\mathbf{rank} F((i_1, i_2) \leq (j_1, j_2))$$



We will see that

The rank invariant is a complete invariant for **interval decomposable persistence modules.**

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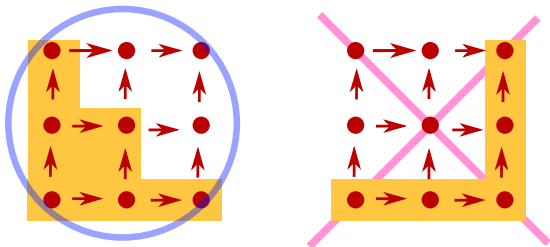
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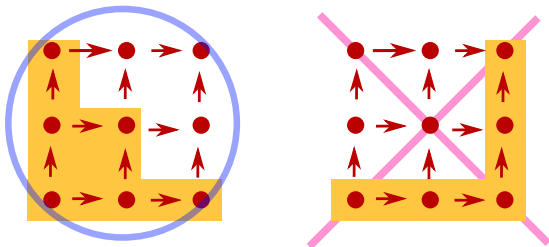
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If  $\mathbf{P}$  is linear ( $\bullet \leftrightarrow \bullet \leftrightarrow \bullet \leftrightarrow \bullet$ ), then  $\text{Con}(\mathbf{P}) = \text{Int}(\mathbf{P})$ .

Let  $K \in \mathbf{Int}(\mathbf{P})$ . The interval module  $I^K : \mathbf{P} \rightarrow \mathbf{vec}$  is defined as

$$I^K(p) = \begin{cases} k, & p \in K \\ 0, & \text{otherwise,} \end{cases} \quad I^K(p \leq q) = \begin{cases} id_k, & p, q \in K \\ 0, & \text{otherwise.} \end{cases}$$

### Definition

$F : \mathbf{P} \rightarrow \mathbf{vec}$  is called **interval decomposable** if there exists a multiset  $\mathbf{barc}(F)$  of intervals of  $\mathbf{P}$  such that

$$F \cong \bigoplus_{K \in \mathbf{barc}(F)} I^K.$$

## Proposition 1 (K, Mémoli 19)

Assume that  $F$  is interval decomposable. For  $K \in \mathbf{Con}(\mathbf{P})$ ,

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Using this proposition, we will compute  $\mathbf{barc}(F)$  from  $\mathbf{rk}_F$ .

Let  $K \in \mathbf{Con}(\mathbf{P})$ .

### Definition (Neighborhood of $K$ )

$$\mathbf{nbd}(K) := \{p \in \mathbf{P} \setminus K : \exists q \in K, p \text{ and } q \text{ are adjacent in } \overline{\mathbf{Hasse}(\mathbf{P})}\}.$$

Also,  $o_K := |\mathbf{nbd}(K)|$  will be called the **perimeter** of  $K$ .

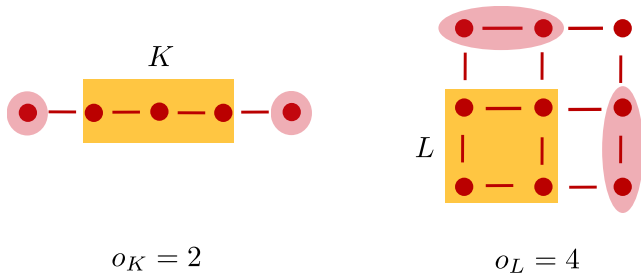
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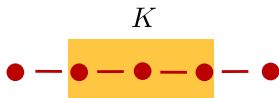


## Definition ( $n$ -th entourage of $K$ )

Let  $K \in \mathbf{Con}(\mathbf{P})$ .

$$K^n := \{L \subset \mathbf{P} : K \subset L \subset K \cup \mathbf{nbid}(K) \text{ with } |L \cap \mathbf{nbid}(K)| = n\}.$$

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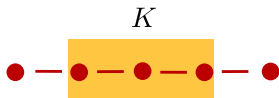


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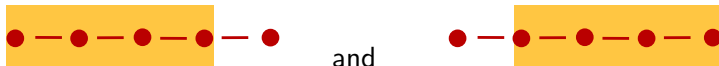
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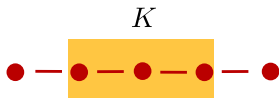


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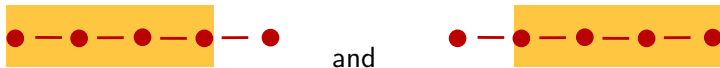
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$K^n = \emptyset$  for  $n > 2$ .

## Theorem 2 (K,Mémoli 19)

Assume that  $F : \mathbf{P} \rightarrow \mathbf{vec}$  is interval decomposable. For  $I \in \mathbf{Int}(\mathbf{P})$ ,

(# of  $I$  in  $\mathbf{barc}(F)$ ) =

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is  $\#\{J \in \mathbf{barc}(F) : I \subsetneq J\}$ .

# Examples

$$\# (\cdot \text{---} \cdot) = \text{rk} (\cdot \text{---} \cdot) \\ - \text{rk} (\text{---} \cdot) - \text{rk} (\cdot \text{---} \cdot) \\ + \text{rk} (\text{---} \cdot)$$

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### Corollary 3

The rank invariant  $\mathbf{rk}_F : \mathbf{Con}(\mathbf{P}) \rightarrow \mathbb{Z}_{\geq 0}$  is a complete invariant for interval decomposable persistence modules  $F : \mathbf{P} \rightarrow \mathbf{vec}$ .

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### Theorem 4 (K, Mémoli 19)

Let  $F : \mathbf{P} \rightarrow \mathbf{vec}$ . The map  $\mathbf{dgm}_F : \mathbf{Con}(\mathbf{P}) \rightarrow \mathbb{Z}$  sending  $I$  to

$$\mathbf{rk}_F(I) - \sum_{K \in I^1} \mathbf{rk}_F(K) + \sum_{L \in I^2} \mathbf{rk}_F(L) + \dots + (-1)^{|I|} \sum_{M \in K^{|I|}} \mathbf{rk}_F(M).$$

is the Möbius inversion of  $\mathbf{rk}_F : \mathbf{Con}(\mathbf{P}) \rightarrow \mathbb{Z}_{\geq 0}$ .

**Proof idea.** Compute the Möbius function of  $(\mathbf{Con}(\mathbf{P}), \supseteq)$  – Make use of several classical results in [Rota1964].

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Given  $F : \mathbf{P} \rightarrow \mathbf{vec}$ , if  $\mathbf{dgm}_F \not\leq 0$ , then  $F$  is **not** interval decomposable.

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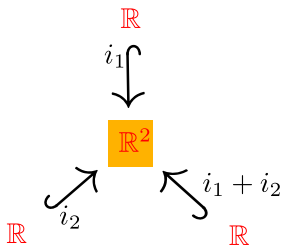
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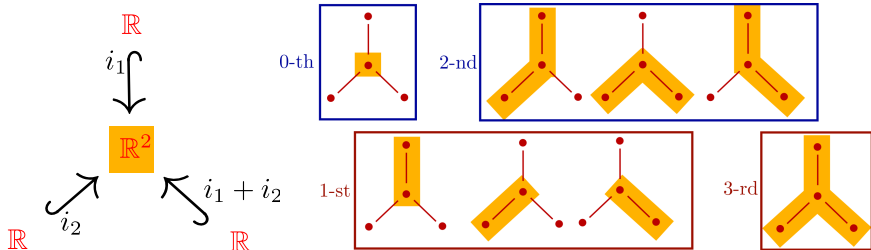
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We will adopt other ideas in [Patel18].

Let  $(\mathcal{C}, \square)$  be any essentially small, symmetric monoidal, (finitely) **bicomplete** category with images [-\[Patel18\]](#).

**Ex.**  $(\mathbf{vec}, \oplus)$ ,  $(\mathbf{ab}, \oplus)$ ,  $(\mathbf{set}, \sqcup)$ .

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## Open questions and future work

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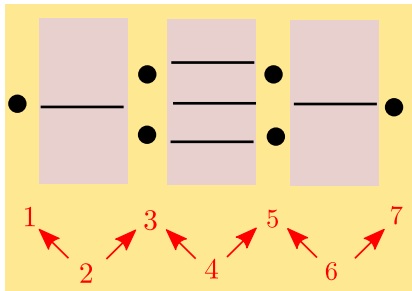
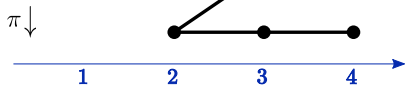
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**Thank you for paying attention.**

<https://arxiv.org/abs/1810.11517>

Example.



$\mathbf{P}$  is a finite, connected poset.

### Proposition 1(K,Mémoli 19)

Assume that  $F$  is interval decomposable. For  $K \in \mathbf{Con}(\mathbf{P})$ ,

$$\mathbf{rk}_F(K) = (\# \text{ of intervals in } \mathbf{barc}(F) \text{ containing } K)$$

**Proof sketch** (Thanks to Botnan & Lesnick).

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$$\begin{aligned} \mathbf{rk}_F(K) &= \mathbf{rank}(F|_K) \\ &= \mathbf{rank} \left( \bigoplus_{L \in \mathbf{barc}(F)} I^L|_K \right) \\ &= \sum_{L \in \mathbf{barc}(F)} \mathbf{rank} (I^L|_K) \\ &= \sum_{L \in \mathbf{barc}(F)} \mathbf{1}_{\{L \supset K\}}. \quad \square \end{aligned}$$



## Möbius inversion formula

Let  $\mathbf{Q}$  be a locally finite poset and let  $k$  be a field. Suppose that an element  $0 \in \mathbf{Q}$  exists with the property that  $0 \leq q$  for all  $q \in \mathbf{Q}$ . Consider a pair of functions  $f, g : \mathbf{Q} \rightarrow k$  with the property that

$$g(q) = \sum_{r \leq q} f(r).$$

Then,  $f(q) = \sum_{r \leq q} g(r) \cdot \mu_{\mathbf{Q}}(r, q)$  for  $q \in \mathbf{Q}$ .

Given  $F : \mathbf{P} \rightarrow \mathbf{vec}$ ,

$$\mathbf{Q} = \mathbf{Con}(\mathbf{P}), \quad g = \mathbf{rk}_F, \quad f = \mathbf{dgm}_F.$$